

## SUCCESSFUL BIDS IN EXCESS OF £100,000

<b>AUCTION</b>	1
<b>DAY</b>	Day 2
<b>AUCTION DATE</b>	2 November 2016

Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Issuer Name	Bid	
0033	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 4
0033	Subscription	100,000	Dunn Underwriting Limited	054257F	Member	Alpha Insurance Analysts Ltd	1	S2082002 15
0033	Tender	106,889	Rachael Keeling	014853V	Member	Hampden Agencies Limited	1	T1959001 3
0033	Tender	106,907	Nomina No 119 LLP	055163E	Member	Hampden Agencies Limited	1	T1959006 1
0033	Subscription	136,091	Jenkyn LLP	055419D	Member	Alpha Insurance Analysts Ltd	1	S2082002 8
0033	Tender	139,283	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 1
0033	Tender	151,909	S M Schick	024411H	Member	Hampden Agencies Limited	1	T1959001 2
0033	Tender	189,847	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 2
0033	Tender	195,503	S T Costelloe	034157F	Member	Hampden Agencies Limited	1	T1959001 1
0033	Subscription	200,000	Nomina No 407 LLP	055533Z	Member	Hampden Agencies Limited	1	S1959005 21
0218	Subscription	100,000	Nomina No 582 LLP	056221V	Member	Hampden Agencies Limited	1	S1959003 14
0218	Tender	100,000	Nomina No 589 LLP	056354L	Member	Hampden Agencies Limited	1	T1959007 14
0218	Subscription	100,000	Nomina No 582 LLP	056221V	Member	Hampden Agencies Limited	1	S1959003 15
0218	Subscription	100,000	The Factor Scottish Limited Partnership	055596Z	Member	Argenta Private Capital Ltd	1	S1627003 30
0218	Subscription	100,054	The Factor Scottish Limited Partnership	055596Z	Member	Argenta Private Capital Ltd	1	S1627003 29
0218	Tender	142,289	Devereux Capital LLP	056101L	Member	Alpha Insurance Analysts Ltd	1	T2082005 27
0218	Tender	164,526	Flow Fam Limited	054217C	Member	Argenta Private Capital Ltd	1	T1627001 9
0218	Tender	165,493	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 4
0218	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 32
0218	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 33
0218	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 34
0218	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 35

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0218	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 36
0218	Tender	300,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	T2082005 5
0218	Tender	400,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 30
0218	Subscription	458,907	ERS Corporate Member Limited	053521Z	Corporate member / candidate	ERS Corporate Member Ltd	1	S3521001 1
0218	Tender	500,000	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	1	T2078001 1
0218	Tender	500,000	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	1	T2078002 2
0218	Tender	500,000	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	1	T2078003 3
0318	Subscription	130,000	Nameco (No 960) Limited	055788S	Member	Hampden Agencies Limited	1	S1959003 30
0386	Tender	144,929	Nameco (No 917) Limited	055048B	Body corporate under common control	Hampden Agencies Limited	1	T1959006 59
0386	Tender	370,661	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	R1959001 1
0510	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 10
0510	Subscription	100,000	Gralenno Limited	054732X	Member	Hampden Agencies Limited	1	S1959003 43
0510	Subscription	100,000	Beaumont Underwriting Limited	054507S	Member	Hampden Agencies Limited	1	S1959003 44
0510	Subscription	100,000	Graham Porter LLP	055239J	Member	Argenta Private Capital Ltd	1	S1627002 72
0510	Subscription	120,000	Acorn Dynamics Limited	056603N	Director / Manager	Argenta Private Capital Ltd	1	S1627002 82
0510	Tender	121,559	Rachael Keeling	014853V	Member	Hampden Agencies Limited	1	T1959001 26
0510	Subscription	125,000	R H K Seelig	037247J	Member	Alpha Insurance Analysts Ltd	1	S2082002 43
0510	Tender	125,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 50
0510	Subscription	125,000	R H K Seelig	037247J	Member	Alpha Insurance Analysts Ltd	1	S2082002 51
0510	Tender	125,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 52
0510	Subscription	125,000	R H K Seelig	037247J	Member	Alpha Insurance Analysts Ltd	1	S2082002 60
0510	Subscription	125,000	R H K Seelig	037247J	Member	Alpha Insurance Analysts Ltd	1	S2082002 62
0510	Tender	141,488	Fidentia (No. 016) Limited	056199K	Member	Alpha Insurance Analysts Ltd	1	T2082005 53
0510	Tender	145,732	Brian John Harris	012531L	Member	Hampden Agencies Limited	1	T1959006 88
0510	Tender	146,319	Nomina No 119 LLP	055163E	Member	Hampden Agencies Limited	1	T1959006 65
0510	Tender	163,923	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 6
0510	Tender	177,525	S M Schick	024411H	Member	Hampden Agencies Limited	1	T1959001 24

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Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Issuer Name	Bid	
0510	Subscription	197,500	Glanet Limited	054220K	Member	Hampden Agencies Limited	1	S1959003 36
0510	Subscription	200,000	Druid Underwriting Limited	054152L	Member	Argenta Private Capital Ltd	1	S1627001 63
0510	Tender	214,000	S T Costelloe	034157F	Member	Hampden Agencies Limited	1	T1959001 23
0510	Subscription	300,000	Nameco (No. 1300) Limited	056622V	Member	Hampden Agencies Limited	1	S1959003 42
0510	Tender	351,382	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 25
0510	Tender	1,583,654	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	R1959001 2
0557	Subscription	100,000	Beaumont Underwriting Limited	054507S	Member	Hampden Agencies Limited	1	S1959003 57
0557	Tender	372,945	N J Hanbury Limited	054264G	Member	Hampden Agencies Limited	1	T1959006 102
0557	Subscription	567,535	J L Gilbert	027342X	Member	Hampden Agencies Limited	1	S1959003 58
0609	Tender	100,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 55
0609	Tender	100,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 60
0609	Subscription	100,000	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	S1959003 64
0609	Tender	101,905	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 11
0609	Tender	150,000	S T Costelloe	034157F	Member	Hampden Agencies Limited	1	T1959001 31
0609	Subscription	150,000	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	S1959003 63
0609	Tender	155,210	S M Schick	024411H	Member	Hampden Agencies Limited	1	T1959001 32
0609	Tender	160,000	Aegis Underwriting Limited	054316G	Member	Argenta Private Capital Ltd	1	T1627004 9
0609	Subscription	300,000	Nomina No 407 LLP	055533Z	Member	Hampden Agencies Limited	1	S1959005 51
0609	Tender	321,314	Tiny Tots Underwriting LLP	055694S	Member	Alpha Insurance Analysts Ltd	1	T2082006 10
0609	Tender	359,176	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 33
0623	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 16
0623	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 19
0623	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 21
0623	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 24
0623	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 27
0623	Subscription	100,000	Nomina No 407 LLP	055533Z	Member	Hampden Agencies Limited	1	S1959005 66
0623	Subscription	100,000	W Marais	030084K	Member	Alpha Insurance Analysts Ltd	1	S2082002 99
0623	Tender	101,780	H A J Butler	018219A	Member	Hampden Agencies Limited	1	T1959006 125
0623	Tender	144,084	S M Schick	024411H	Member	Hampden Agencies Limited	1	T1959001 36
0623	Tender	149,440	S T Costelloe	034157F	Member	Hampden Agencies Limited	1	T1959001 35
0623	Subscription	150,000	Jenkyn LLP	055419D	Member	Alpha Insurance Analysts Ltd	1	S2082002 104

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0623	Subscription	150,000	Jenkyn LLP	055419D	Member	Alpha Insurance Analysts Ltd	1	S2082002 105
0623	Tender	200,000	LB Limited	053563Z	Member	Hampden Agencies Limited	1	T1959007 38
0623	Tender	206,211	Stour Underwriting LLP	055366S	Member	Argenta Private Capital Ltd	1	T1627001 44
0623	Tender	324,569	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 41
0623	Subscription	366,067	Tiny Tots Underwriting LLP	055694S	Member	Alpha Insurance Analysts Ltd	1	S2082003 23
0727	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 30
0727	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 32
0727	Tender	135,309	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 45
1200	Subscription	150,000	Powell Underwriting LLP	055590J	Member	Hampden Agencies Limited	1	S1959003 99
1969	Subscription	100,000	Simon Family Office Insures Limited	056360E	Director / Manager	Argenta Private Capital Ltd	1	S1627001 101
1969	Subscription	100,000	Graham Porter LLP	055239J	Member	Argenta Private Capital Ltd	1	S1627002 133
1969	Tender	100,267	P D Langdown	041471Q	Member	Alpha Insurance Analysts Ltd	1	T2082005 67
1969	Tender	125,108	Palm Way Limited	056455N	Member	Alpha Insurance Analysts Ltd	1	T2082005 77
1969	Tender	132,055	J R S Cooper	053166L	Member	Alpha Insurance Analysts Ltd	1	T2082005 97
1969	Tender	149,246	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 14
1969	Tender	164,642	M R Newton	018742E	Member	Alpha Insurance Analysts Ltd	1	T2082005 85
1969	Tender	164,690	Fidentia (No. 016) Limited	056199K	Member	Alpha Insurance Analysts Ltd	1	T2082005 90
1969	Tender	200,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	T2082005 92
1969	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 141
1969	Subscription	200,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 142
1969	Subscription	250,000	Powell Underwriting LLP	055590J	Member	Hampden Agencies Limited	1	S1959003 102
1969	Subscription	250,000	Powell Underwriting LLP	055590J	Member	Hampden Agencies Limited	1	S1959003 103
1969	Subscription	500,000	Trust Underwriting Limited	053473L	Member	Argenta Private Capital Ltd	1	S1627002 132
2010	Subscription	100,000	D H Hall	045935D	Member	Alpha Insurance Analysts Ltd	1	S2082007 8
2010	Subscription	200,000	Nomina No 407 LLP	055533Z	Member	Hampden Agencies Limited	1	S1959005 105
2010	Tender	212,364	Aegis Underwriting Limited	054316G	Member	Argenta Private Capital Ltd	1	T1627004 57
2010	Tender	300,000	Gralenno Limited	054732X	Member	Hampden Agencies Limited	1	T1959001 84
2010	Subscription	320,941	J L Gilbert	027342X	Member	Hampden Agencies Limited	1	S1959003 110
2010	Tender	468,750	Gralenno Limited	054732X	Member	Hampden Agencies Limited	1	T1959006 218
2010	Subscription	500,000	Powell Underwriting LLP	055590J	Member	Hampden Agencies Limited	1	S1959003 108
2010	Tender	649,008	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	R1959001 4

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Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Issuer Name	Bid	
2121	Subscription	100,000	Graham Porter LLP	055239J	Member	Argenta Private Capital Ltd	1	S1627002 152
2121	Subscription	149,207	Powell Underwriting LLP	055590J	Member	Hampden Agencies Limited	1	S1959003 113
2121	Tender	271,235	D J De M Coulthard	021281G	Staff member	Hampden Agencies Limited	1	T1959001 107
2525	Subscription	100,000	Beaumont Underwriting Limited	054507S	Member	Hampden Agencies Limited	1	S1959003 116
2791	Subscription	100,000	Ice House Street (No. 1) Limited	056080X	Member	Alpha Insurance Analysts Ltd	1	S2082003 40
2791	Subscription	100,000	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	S1959003 120
2791	Subscription	100,000	Nameco (No 927) Limited	055353E	Member	Hampden Agencies Limited	1	S1959003 121
2791	Tender	116,580	S T Costelloe	034157F	Member	Hampden Agencies Limited	1	T1959001 113
2791	Tender	199,183	J R Findlay	008870S	Member	Hampden Agencies Limited	1	T1959007 69
2791	Tender	250,000	Pen Hill LLP	055151C	Member	Alpha Insurance Analysts Ltd	1	T2082005 249
4444	Subscription	100,000	Pelorus Underwriting Limited	055902E	Director / Manager	Argenta Private Capital Ltd	1	S1627002 206
4444	Tender	101,429	NameCo (No 346) Limited	054597H	Body corporate under common control	Hampden Agencies Limited	1	T1959006 274
4444	Tender	104,237	J D D Thompson-Schwab	028453D	Member	Alpha Insurance Analysts Ltd	1	T2082006 24

## CONNECTED PERSONS

Member Code	Member Name	Connection Category
021281G	D J De M Coulthard	Staff member
053521Z	ERS Corporate Member Limited	Corporate member / candidate
054239K	Prime Underwriting Limited	Director / Manager
054597H	NameCo (No 346) Limited	Body corporate under common control
054744A	G L Underwriting Limited	Director / Manager
055027B	ICP Capital Limited	Body corporate under common control
055048B	Nameco (No 917) Limited	Body corporate under common control
055084L	Palace House International (Two) LLP	Council, board or committee member
055539H	LAF Capital Limited	Director / Manager
055862G	Nameco (No 968) Limited	Staff member
055902E	Pelorus Underwriting Limited	Director / Manager
055920A	CCI Syndicates Limited	Director / Manager
056360E	Simon Family Office Insures Limited	Director / Manager
056603N	Acorn Dynamics Limited	Director / Manager