

LIST OF SUCCESSFUL BIDS BY CONNECTED PERSONS

AUCTION	1
DAY	Day 2
AUCTION DATE	25 September 2013

Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Agent	Bid		
0033	Subscription	12,858	Harris Family Utg Limited	056173J	Director / Manager	Argenta Private Capital Ltd	1	S1627003	13
0033	Subscription	4,751	Nameco (No 234) Limited	054403J	Staff member	Hampden Agencies Limited	1	S1959003	25
0218	Subscription	100,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	1	S1627002	15
0218	Subscription	33,000	Devon Underwriting Limited	053673X	Director / Manager	Argenta Private Capital Ltd	1	S1627002	19
0218	Subscription	2,150,000	Equity Red Star Limited	053521Z	Corporate member / candidate	Equity Red Star Ltd	1	S3521001	1
0218	Subscription	30,000	Harris Family Utg Limited	056173J	Director / Manager	Argenta Private Capital Ltd	1	S1627003	90
0218	Subscription	19,254	Kling Investment Limited	054712F	Director / Manager	Argenta Private Capital Ltd	1	S1627003	95
0218	Subscription	14,476	Rechter Underwriting Limited	054857D	Director / Manager	Argenta Private Capital Ltd	1	S1627003	93
0218	Tender	25,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	T1627001	18
0218	Tender	5,902	NameCo (No 377) Limited	054628H	Staff member	Hampden Agencies Limited	1	T1959001	24
0308	Subscription	20,000	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Limited	1	S2082008	2
0308	Subscription	200,000	Rona Delves Broughton	015962F	Council, board or committee member	Argenta Private Capital Ltd	1	S1627004	25
0308	Subscription	25,000	Stephen Mark Wilcox	025474G	Director / Manager	Hampden Agencies Limited	1	S1959005	3
0386	Subscription	25,000	Nameco (No 968) Limited	055862G	Staff member	Hampden Agencies Limited	1	S1959002	37
0510	Tender	200,000	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	1	T1627006	1

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0557	Subscription	10,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	S1627004	75
0557	Subscription	10,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	S1627004	76
0557	Subscription	10,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	S1627004	77
0557	Subscription	200,000	Hampden Corporate Member Limited	055506F	Body corporate under common control	Hampden Agencies Limited	1	S1959004	57
0557	Subscription	12,276	Kling Investment Limited	054712F	Director / Manager	Argenta Private Capital Ltd	1	S1627003	214
0609	Subscription	5,000	Nameco (No 234) Limited	054403J	Staff member	Hampden Agencies Limited	1	S1959003	156
0609	Subscription	7,000	Rimington Heaton LLP	056183S	Director / Manager	Alpha Insurance Analysts Limited	1	S2082001	115
0623	Subscription	10,000	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Limited	1	S2082008	3
0727	Subscription	20,000	Nameco (No 811) Limited	054916E	Director / Manager	Hampden Agencies Limited	1	S1959005	9
0958	Tender	85,572	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	T1627001	91
0958	Tender	95,110	Palace House International (Two) LLP	055084L	Council, board or committee member	Alpha Insurance Analysts Limited	1	T2082012	2
1176	Subscription	5,000	Rimington Heaton LLP	056183S	Director / Manager	Alpha Insurance Analysts Limited	1	S2082001	191
1176	Tender	5,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	T1627006	8
1969	Subscription	30,000	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Limited	1	S2082008	5
1991	Subscription	35,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	1	S1627002	124
1991	Subscription	25,000	Devon Underwriting Limited	053673X	Director / Manager	Argenta Private Capital Ltd	1	S1627002	128
1991	Subscription	10,258	Harris Family Utg Limited	056173J	Director / Manager	Argenta Private Capital Ltd	1	S1627003	425
1991	Subscription	11,548	The Michael Deeny LLP	055284X	Director / Manager	Argenta Private Capital Ltd	1	S1627002	145
1991	Tender	23,065	Kling Investment Limited	054712F	Director / Manager	Argenta Private Capital Ltd	1	T1627005	56

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1991	Tender	19,226	Rechter Underwriting Limited	054857D	Director / Manager	Argenta Private Capital Ltd	1	T1627005	47
2010	Subscription	10,000	A J Sparrow	019629V	Director / Manager	Alpha Insurance Analysts Limited	1	S2082001	280
2121	Tender	16,666	Kling Investment Limited	054712F	Director / Manager	Argenta Private Capital Ltd	1	T1627005	77
2525	Tender	1,522	Nameco (No 267) Limited	054460A	Director / Manager	Hampden Agencies Limited	1	T1959008	44
2525	Tender	322	Nameco (No 267) Limited	054460A	Director / Manager	Hampden Agencies Limited	1	T1959008	45
2526	Tender	10,000	A J Sparrow	019629V	Director / Manager	Alpha Insurance Analysts Limited	1	T2082009	1
2526	Tender	56,710	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	T1627001	129
2526	Tender	237	Nameco (No 267) Limited	054460A	Director / Manager	Hampden Agencies Limited	1	T1959008	46
2526	Tender	18,063	Palace House International (Two) LLP	055084L	Council, board or committee member	Alpha Insurance Analysts Limited	1	R2082001	25
2526	Tender	5,000	Rimington Heaton LLP	056183S	Director / Manager	Alpha Insurance Analysts Limited	1	R2082001	50
2791	Subscription	3,000	AMS Consultants Underwriting Limited	056144A	Director / Manager	Argenta Private Capital Ltd	1	S1627004	214
2791	Subscription	10,000	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Limited	1	S2082008	8
2791	Subscription	8,969	Nameco (No 234) Limited	054403J	Staff member	Hampden Agencies Limited	1	S1959003	328
2791	Subscription	20,000	Nameco (No 89) Limited	054086J	Director / Manager	Hampden Agencies Limited	1	S1959006	5

CONNECTED PERSONS

Member Code	Member Name	Connection Category
015962F	Rona Delves Broughton	Council, board or committee member
019629V	A J Sparrow	Director / Manager
025474G	Stephen Mark Wilcox	Director / Manager
038549D	M G H Heald	Director / Manager
042371A	D D Pattinson	Director / Manager
053521Z	Equity Red Star Limited	Corporate member / candidate
053673X	Devon Underwriting Limited	Director / Manager
054086J	Nameco (No 89) Limited	Director / Manager
054387V	Aardvark Underwriting Ltd	Director / Manager
054403J	Nameco (No 234) Limited	Staff member
054460A	Nameco (No 267) Limited	Director / Manager
054628H	NameCo (No 377) Limited	Staff member
054712F	Kling Investment Limited	Director / Manager
054857D	Rechter Underwriting Limited	Director / Manager
054916E	Nameco (No 811) Limited	Director / Manager
055084L	Palace House International (Two) LLP	Council, board or committee member
055284X	The Michael Deeny LLP	Council, board or committee member
055284X	The Michael Deeny LLP	Director / Manager
055506F	Hampden Corporate Member Limited	Body corporate under common control
055862G	Nameco (No 968) Limited	Staff member
056144A	AMS Consultants Underwriting Limited	Director / Manager
056173J	Harris Family Utg Limited	Director / Manager
056183S	Rimington Heaton LLP	Director / Manager