

Business Timetable Export

Date exported	04/03/2021	Market Participant filter	None
Start date filter	04/03/2021	Category filter	None
End date filter	None	Region filter	None

March 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
04/03/2021		Canadian reporting	Managing Agents	Cash movement date for LCTF and Margin Fund Q4 adjustment	Donna Broughton Settlement and Trust Funds +44 (0)1634 392693		North America
04/03/2021		Syndicate reporting	Managing Agents	Managing agents to submit PDF electronic versions of syndicate annual accounts and syndicate underwriting year accounts as at 31 December 2020 via the Market Returns website	Jake Tomlin Market Finance +44 (0)20 7327 6581		Europe
04/03/2021		Syndicate reporting	Managing Agents	Managing agents to submit syndicate annual report and accounts as at 31 December 2020 together with agent and auditor confirmation of agreement with QMA	Jake Tomlin Market Finance +44 (0)20 7327 6581		Europe
06/03/2021		Finance	Managing Agents	Canadian Margin Fund quarter four 2019 - statements sent to managing agents	Carolyn Smith Group Finance +44 (0)1634 392922		North America
08/03/2021		Distribution	Managing Agents	Last date to notify Market Services of any open year 14 June cash calls for inclusion in the 2018 distribution process.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
10/03/2021		Syndicate reporting *	Managing Agents	Cash transfer statements for open year profits to be submitted to Market Finance (as part of ASR return)	George Nicholson Market Finance +44 (0)20 7327 5609		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
10/03/2021		Risk Aggregation (Exposure Management and Reinsurance) *	Managing Agents	Managing Agents to submit the Lloyd's society level top underwriting risks return. Please note that this return is separate from, and additional to, the individual syndicate ASR 251 returns. This return is via the 'Lloyd's Catastrophe Model' SecureStore, within the 'ASR 251 Form' folder.	Sundeep Chahal Lloyd's Risk Aggregation +44 (0)20 7327 5381		Europe
10/03/2021		Syndicate reporting *	Managing Agents	Managing Agents to submit AAD, audited ASR and ASB as at 31 December 2019 via Core Market Returns BY 2pm	Tim Culshaw Market Finance +44 (0)20 7327 6408		Europe
11/03/2021		US reporting	Managing Agents	Deadline for authorisation to use QMA/QAD permission forms.	Steve Mullen Market Finance 0207 3276 834		North America
11/03/2021		US reporting	Managing Agents, Members	Deadline for authorisation to use QMA forms	Steve Mullen Market Finance 0207 3276 834		North America
12/03/2021		Distribution	Managing Agents	Last date for Managing Agents to raise any queries with Results data calculations.	Claire Sheahan Member Services +44 (0)1634 392094		North America
12/03/2021		Syndicate reporting *	Managing Agents	Managing Agents to return certificate and auditors statement for syndicates writing Irish Motor business	Declan Rooney International Regulatory Affairs Declan.Rooney@lloyds.com +44 (0)20 7327 6051		Europe
13/03/2021		Finance	Managing Agents	Canadian Margin Fund adjustment, quarter four 2019 settlement date	Carolyn Smith Group Finance +44 (0)1634 392922		North America
15/03/2021		French office *	Managing Agents	Q1 2021: GAREAT Large risk return to French Office for period 1 January 2021 to 10 March 2021 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
15/03/2021		French office *	Managing Agents	Q5 2020: GAREAT Large risk return to French Office for period 1 January 2020 to 31 December 2020 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
15/03/2021		Hong Kong Claim Return (HKC) *	Coverholders	Submission of Hong Kong Claim Return for February 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/03/2021		Hong Kong Risk Return (HKR) *	Coverholders	Submission of Hong Kong Risk Return for February 2021 before 12:00 (UK Time) using core market returns software. NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
17/03/2021		Distribution	Members	Member Services to issue distribution data (DD) files to members' agents	Claire Sheahan Member Services +44 (0)1634 392904		Europe
18/03/2021		Distribution	Managing Agents	Remittance & Loss advices sent to Managing Agents for confirmation of amounts being collected via Lloyd's Central Accounting, including open year releases	Claire Sheahan Member Services +44 (0)1634 392904		Europe
20/03/2021		Tax Members Agent and DCP Level	Members	Last date for members' agents to advise Tax Operations of stop loss policies paid or stop loss recoveries received in respect of their members.	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
23/03/2021		Distribution	Managing Agents	Managing Agents to return Remittance & Loss advices to confirm profit amounts being collected via Lloyd's Central Accounting	Claire Sheahan Member Services +44 (0)1634 392904		Europe
25/03/2021		Distribution	Managing Agents	Members' agents submit agent expense (AE) data files to Member Services	Claire Sheahan Member Services +44 (0)1634 392904		Europe
25/03/2021		French office *	Managing Agents	Submission of completed syndicate medical malpractice legal liability return to Lloyd's France (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
26/03/2021		Risk Aggregation (Exposure Management and Reinsurance) *	Managing Agents	Managing Agents to submit Realistic Disaster Scenario (RDS) return as at 1 January 2020 including Supplementary Information by MIDDAY. This return is via CMR.	Emma Watkins Lloyd's Risk Aggregation +44 (0)20 7327 5719		Europe
26/03/2021		Syndicate reporting *	Managing Agents	Managing Agents to submit to PMD Related Parties Disclosure template	PMD Related Parties Disclosure RelatedPartiesDisclosure@lloyds.com		Europe
27/03/2021		Direct Corporate Members (DCP) *	Members	UK solvency data produced and despatched to members' agents and direct corporate participants	George Nicholson Market Finance +44 (0)20 7327 5609		Europe
27/03/2021		Solvency *	Members	UK solvency data produced and despatched to members' agents and direct corporate participants	George Nicholson Market Finance +44 (0)20 7327 5609		Europe
30/03/2021		Finance *	Managing Agents	Swiss Deposit Adjustment Q4 2019	Francois Jolly Treasury & Investment Management +44 (0) 20 7327 5722		Europe
30/03/2021		Syndicate reporting *	Managing Agents	Managing Agents to submit TPD return as at year-end 2020 via Core Market Returns	Ryan Jenkins Market Reserving & Capital +44 (0)20 7327 5657		Europe
31/03/2021		Minimum Standards *	Managing Agents	Managing Agents to submit Minimum Standards Attestation for each syndicate under management via Secure Store	Oversight Framework Syndicate Capability Oversight Oversight.Framework@lloyds.com		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
31/03/2021		Declaration of Compliance	Members	Deadline for Declaration of Compliance (DOC) for Corporate Members that have a Members' Agent.	Julie Skinner Member Services +44 (0)1634 392737	To confirm compliance with the provision of Lloyd's acts and byelaws, and Lloyd's has been kept up to date with members' details. e.g. controllers and beneficial owners.	Europe
31/03/2021		Syndicate reporting *	Managing Agents	Quarterly monitoring return extract for quarter 4 2020 dispatched to recipients.	Jake Tomlin Market Finance +44 (0)20 7327 6581		Europe
31/03/2021		Solvency *	Managing Agents	Solvency date by which all non-life actuarial reports supporting the UK solvency and US trust fund opinions must be submitted via MDC	Ajay Shah Market Reserving and Capital +44 (0)20 7327 5682		Europe
31/03/2021		Syndicate reporting *	Managing Agents	Managing Agents to submit annual ORSA Report Return for each syndicate under management to ORSAsubmissions@lloyds.com	ORSA Submissions Syndicate Capability Oversight ORSAsubmissions@lloyds.com		Europe

April 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
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Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/04/2021		Part VII April Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII April Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 31/03/2021) via Market Data Collection (MDC) website against the April submission business events (and initial load catch-up file if required). Submission window opens on the 01/04/2021 and closes on the 14/04/2021.	Europe
01/04/2021		US reporting	Syndicates	New syndicates only - Lloyd's recommended date for submission of International Insurance Department (National Association of Insurance Commissioners) applications and fees if a syndicate intends to write US surplus lines business (for 1 July 2018)	Stuart Crawley International Regulatory Affairs +44 (0)20 7327 6234		North America
06/04/2021		Syndicate reporting	Managing Agents	Complaints Team will request confirmation from Managing Agents that all complaints relating to UK policyholders have been notified to Lloyd's under the Complaints Handling Code with a two week deadline for response.	Joanne Tourle Complaints Team +44 (0)1634 392496		Europe
07/04/2021		Syndicate reporting	Managing Agents	Complaints team will request a sample of Summary Resolution Communications issued in the previous quarter to be reviewed in accordance with the UK Complaints Handling Code with a two week deadline for response.	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
09/04/2021		Distribution	Managing Agents	Managing Agents to provide technical cash call statements in respect of LATF surpluses, where appropriate, to Member Services (Life syndicates only)	Claire Sheahan Member Services +44 (0)1634) 392904		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
09/04/2021		Non-XIS Return	Managing Agents	Managing agents to submit details of Switzerland, New Zealand, South African and Australian business not processed through central accounting and Non-XIS Data for Lloyd's Part VII Transfer as at 31 March 2020	Steven Mullen Market Finance +44 (0)20 7327 6834		Africa & Middle East, Asia & Pacific, Europe
09/04/2021		Distribution	Members	Member Services to collect sterling and US\$ profits from funds Lloyd's American Trust Fund (LATF) and Lloyd's Dollar Trust Fund (LDTF) via central accounting (non-fasttrack amounts only). Note: Any Open year releases should be included.	Claire Sheahan Member Services +44 (0)1634 392904		Europe
12/04/2021		Direct Corporate Members (DCP)	Managing Agents	2018 distribution debt allocation process and interchange of information with members' agents and Direct Corporate Participants to commence.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
12/04/2021		Distribution	Managing Agents	2018 distribution debt allocation process and interchange of information with members' agents and Direct Corporate Participants to commence.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
12/04/2021		FAL *	Managing Agents	Capital test - Release test/Coming into Line (CIL) calculations as at 30 December 2018 issued to Members' Agents	Louise Holliday Market Services +44 (0)1634 392174		Europe
14/04/2021		US reporting	Managing Agents	Electronic packs for US quarterly filing available on ORS	David Cokayne Market Finance +44 (0)20 7327 6238		North America
15/04/2021		Hong Kong Claim Return (HKC) *	Coverholders	Submission of Hong Kong Claim Return for March 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
15/04/2021		Syndicate reporting *	Managing Agents	Managing Agents to submit GQD return as at Quarter 1 2021 via MDC	Ryan Jenkins Market Reserving & Capital +44 (0)20 7327 5657		Europe
15/04/2021		Hong Kong Risk Return (HKR) *	Coverholders	Submission of Hong Kong Risk Return for March 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/04/2021		Performance Management Data Return	Managing Agents	Managing agents to submit Performance Management Data Return for March 2021 before 14:00 via Market Data Collection (MDC) website.	Matthew Orriss Business Intelligence +44 (0)20 7327 6823		UK & Channel Islands
16/04/2021		Syndicate reporting *	Syndicates	Submission of completed Singapore Risk Registration form - This report is only to be completed by those syndicates writing business via the Singapore Platform	Kevin Blake Global Operations +44 (0)20 7327 5689		Asia & Pacific
16/04/2021		Coming into line	Members	Publication of Capital Test – Release Test / Coming into Line (CIL) calculations (as at 31st December 2020)	Louise Holliday Member Services +44 (0)1634 392174		Europe
16/04/2021		Tax - Syndicate Level	Syndicates	Complete US investment income supplementary information and provide copies of 1042-S received from custodians to Tax Operations	Gemma Tutt Taxation +44 (0)1634 392833		North America
17/04/2021		Australian Terrorism *	Managing Agents	Managing Agents to submit the Quarter 1 Australian Reinsurance Pool Corporation Return to International Regulatory Affairs via SecureStore.	Maxine Hooper International Regulatory Affairs Australian.terrorism@lloyds.com +44 (0)20 7327 6291		Asia & Pacific
19/04/2021		Direct Corporate Members (DCP) *	Managing Agents	Capital test - Release test/Coming into Line (CIL) calculations as at 30 December 2017 issued to DCP Members.	Andrew Mires Member Services +44 (0)1634 392374		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
20/04/2021		Syndicate reporting *	Managing Agents	Response due following the request for confirmation from Managing Agents that all complaints relating to policyholders have been notified to Lloyd's under the Complaints Handling Code. Deadline for responses extended to 18 May 2020.	Joanne Tourle Complaints Team +44 (0)1634 392496		Europe
21/04/2021		Canadian reporting	Managing Agents	Provision of Preliminary packs to market	Tracey Nash Market Finance +44 (0)20 7327 5435		North America
22/04/2021		Syndicate reporting *	Managing Agents	Managing agents to submit Q1 2020 QSR and QAD, return by 2pm	Tim Culshaw Market Finance +44 (0)20 7327 6408		Europe
22/04/2021		Danish Guarantee Fund deadline *	Managing Agents	Managing agents to submit Q1 Danish personal lines policy count.	Declan Rooney International Reporting +44 (0)20 7327 5465		Europe
25/04/2021		Direct Corporate Members (DCP) *	Members	Provision of updated ECAs for all members (via member modelling software) using year end rates of exchange and post Dec 2017 RITCs	Neil Wells Market Reserving and Capital + 44 (0)20 7327 6034		Europe
27/04/2021		French office *	Managing Agents	GAREAT Large risk annual return: risks ≥EUR150m (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
28/04/2021		Canadian reporting	Managing Agents	Managing Agents to lock completed electronic reporting packs by 16:00	Tracey Nash Market Finance +44 (0)20 7327 5435		North America
28/04/2021		Finance	Managing Agents	Kentucky Trust Fund and Kentucky JATF quarter one 2020 - statements sent to managing agents	Carolyn Smith Financial Control +44 (0)1634 392922		North America
28/04/2021		Finance	Managing Agents	Credit for reinsurance and surplus lines trust funds quarter four 2019 - statements sent to managing agents	Carolyn Smith Financial Control +44 (0)1634 392922		North America

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
30/04/2021		Agency agreements *	Managing Agents	Latest date for managing agents of unaligned syndicates to apply to Lloyd's for permission to terminate the managing agent's agreements in relation to a syndicate if they intend to effect a syndicate merger, a minority buy-out of the unaligned capacity or to increase fees/profit commission	Kevin Lazarus Legal Services +44 (0)20 7327 6737		Europe
30/04/2021		Syndicate reporting *	Managing Agents	ePlacing (PPL) submission – Q1 2020	Robin Heatley Performance Management 020 7327 6351		Europe
30/04/2021		Distribution	Members	Member Services prepare and despatch consolidated personal accounts to members' agents and direct corporate participants.	Claire Sheahan Member Services +44 (0)1634 392904		Europe
30/04/2021		US Reporting	Managing Agents	Managing Agents to lock completed electronic reporting packs	David Cokayne Market Finance +44 (0)20 7327 6238		North America
30/04/2021		Direct Corporate Members (DCP)	Members	Member Services prepare and dispatch consolidated personal accounts to members' agents and direct corporate participants.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

May 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
03/05/2021		FAL *	Members	Deadline for Members to provide completed signed and witnessed Declaration and Undertaking document in respect of new third party funding arrangements to Market Services for Mid year CIL.	Mita Johnson Market Services +44 (0)1634 392960		Europe
03/05/2021		US reporting *	Managing Agents	Submission of TRIA electronic filing to Market Finance via SecureStore	Hayley Youles Market Finance +44 (0)20 7327 5299		North America

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
04/05/2021		Part VII May Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII May Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 30/04/2021) via Market Data Collection (MDC) website against the May submission business events (and initial load catch-up file if required). Submission window opens on the 04/05/2021 and closes on the 17/05/2021.	Europe
04/05/2021		Syndicate reporting *	Managing Agents	Managing agents to submit 2019 FSCS data to Lloyd's	Tim Culshaw Market Finance +44 (0)20 7327 6408		North America
04/05/2021		Distribution	Members	Member Services estimated date when first surpluses will be available for payment to Members.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
04/05/2021		Finance *	Managing Agents	Australian Trust fund and Australian and JATF(2), quarter one 2020 - statements sent to managing agents	Carolyn Smith Financial Control +44 (0)1634 392922		North America
04/05/2021		Finance *	Managing Agents	Illinois Trust Fund quarter one 2020 - statements sent to managing agents	Carolyn Smith Financial Control +44 (0)1634 392922		North America
05/05/2021		US reporting	Managing Agents	Managing Agents to submit cash transfer forms to Settlement and Trust Funds	Donna Broughton Settlement and Trust Funds +44 (0)1634 392693		North America
05/05/2021		Finance	Managing Agents	Credit for reinsurance and surplus lines trust funds quarter four 2019 - settlement date	Carolyn Smith Financial Control +44 (0)1634 392922		North America

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
05/05/2021		Finance	Managing Agents	Kentucky Trust Fund adjustment quarter one 2020, settlement date	Carolyn Smith Financial Control +44 (0)1634 392922		North America
05/05/2021		US reporting	Managing Agents	Managing agents to submit signed and notarised jurat pages to Market Finance.	David Cokayne Market Finance +44 (0)20 7327 6238		North America
05/05/2021		US reporting	Managing Agents	Managing Agents to submit cash transfer forms	David Cokayne Market Finance +44 (0)20 7327 6238		North America
06/05/2021		Syndicate reporting *	Members	Managing agents to submit quarter 1 2021 QMB, via Market Data Collection (MDC) website by 2PM	Tom Halfpenny Business Intelligence +44 (0)20 7327 5943		UK & Channel Islands
07/05/2021		Coming into line *	Members	Deadline for Members to provide Application Forms, Information Forms and completed signed and witnessed Declaration and Undertaking document in respect of new third party funding arrangements to Member Services for CIL Mid-Year.	Mita Johnson Member Services +44 (0)1634 392960	Additional requirement is the Declaration of Source Of Wealth with supporting evidence. All Application Forms and declarations to be submitted with supporting documentation and evidence as per Lloyd's Anti Money Laundering and Financial Crime requirements. Please note files will be rejected where forms and supporting documentation are incomplete	Europe
08/05/2021		Finance	Managing Agents	South African Trust Fund quarter one 2020 - statements sent to managing agents	Carolyn Smith Financial Control +44 (0)1634 392922		Africa & Middle East

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
10/05/2021		Tax - Syndicate Level	Syndicates	Submit details of United States syndicate information statements (SIS) and expenses schedules for the purpose of filing the United States federal income tax return to Tax Operations.	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
10/05/2021		Tax - Syndicate Level	Syndicates	Submit details of United States BEAT adapted syndicate information statement (SIS) schedules 30a, 40a, 45a, and 60a for the purpose of filing the United States form 8991 to Tax Operations - production of schedule 40b to members is dependent on regular SIS and schedule 40a being cleared by Sutherland.	Charles Brown Tax +1 212-382-4082		UK & Channel Islands
12/05/2021		US reporting	Managing Agents	US situs top up cash movement date	David Cokayne Market Finance +44 (0)20 7327 6238		North America
12/05/2021		Coming into line	Members	Deadline for all Members to submit all applications for Tier 2 assets/instructions for CIL, partial releases and substitutions. All documentation must be received by this date, no extensions to this deadline will be granted. Please refer to Market Bulletin Y5177 (Provision of capital to support members' Economic Capital Assessments; timing and Solvency II tiering limits) for full details on the Tier 2 capital limits.	Anne Cooper Member Services +44 (0)1634 392576	Lloyd's are required to seek approval from the PRA for all Funds at Lloyd's transactions which include Tier 2 assets. Incomplete file submissions will be rejected.	Europe
13/05/2021		Canadian reporting	Managing Agents, Members	Cash transfer forms provided to STFO	Donna Broughton Settlement and Trust Funds +44 (0)1634 392693		North America
14/05/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for April 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
14/05/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for April 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
20/05/2021		Canadian reporting	Managing Agents	Cash movement date for LCTF and Margin Fund	Tracey Nash Market Finance +44 (0)20 7327 5435		North America
20/05/2021		Syndicate reporting	Managing Agents	Managing Agents to submit excel version of annual Broker Remuneration Return.	Paul Brady Market Oversight & Delivery Paul.Brady@lloyds.com +44 (20) 73275750		Europe
21/05/2021		User pays	Managing Agents	Complaints handling charges due to be levied provided to managing agents to allow them to be verified prior to collection via ARCS	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
24/05/2021		Tax Members Agent and DCP Level	Members	US Tax - Corporate members to confirm change of ownership information and controlled foreign corporation status for the United States income tax return	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
28/05/2021		Tax - Syndicate Level	Syndicates	Submit details of Canadian Syndicate Information Statements (SIS) to determine the tax liability for filing Canadian tax return for non-Canadian residents	Cheryl Masson Tax +44 (0)1634 392620		UK & Channel Islands
28/05/2021		US reporting	Managing Agents	Post timetable and instructions on Secure Store	David Cokayne Market Finance +44 (0)20 7327 6238		North America
28/05/2021		Canadian reporting	Managing Agents, Members	Post timetable and instructions on Secure Store	Tracey Nash Market Finance +44 (0)20 7327 5435		North America

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
31/05/2021		Syndicate reporting	Managing Agents	Managing Agents to submit Actuarial Function Reports (covering TPs and Risk Management) for each syndicate under management to AFReports@lloyds.com.	Ajay Shah Market Reserving & Capital +44 (0)20 7327 5682		UK & Channel Islands
31/05/2021		Distribution	Managing Agents	Latest date for members' agents to send consolidated personal accounts to members.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

June 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/06/2021		Part VII June Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII June Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 31/05/2021) via Market Data Collection (MDC) website against the June submission business events (and initial load catch-up file if required). Submission window opens on the 01/06/2021 and closes on the 14/06/2021.	Europe
14/06/2021		French office *	Managing Agents	Q6 2020 (regularisation return) : GAREAT Large risk return to French Office for period 1 January 2020 to 31 December 2020 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
14/06/2021		French office *	Managing Agents	Q2 2021: GAREAT Large risk return to French Office for period 1 January 2021 to 10 June 2021 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
15/06/2021		US reporting*	Managing Agents, Members	NAIC/IID filing forms as part of the return submitted to Lloyd's (electronically via SecureStore) for onwards transmission to the regulator.	Hayley Youles Market Finance +44 (20) 73275299	*Although the regulator is yet to confirm the filing deadlines, we currently assume the submission date and filing deadline to be 15 June 2021.	North America
15/06/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for May 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/06/2021		Distribution	Syndicates	June losses / cash calls to be funded and paid to syndicates on this date.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
15/06/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for May 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
16/06/2021		Syndicate reporting	Managing Agents	Request sent to managing agents to complete questionnaire relating to policyholders who meet the definition of an 'eligible complainant' to meet Lloyd's FCA reporting requirements.	Jenny Laverty Complaints Department +44 (0)1634 392320		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
16/06/2021		Coming into line	Members	Deadline for all Members to submit their application* to introduce new or additional assets (with the exception of Tier 2 assets) for Coming into Line (CIL). All assets (other than cash - see 30th June deadline) and supporting documentation must also be received by this date.	Anne Cooper Member Services +44 (0)1634 392576	Please refer to the section Tier 2 Assets**, if the application includes a new/increased letter of credit or bank guarantee. All assets must be settled/registered correctly by 30 June 2021 Incomplete file submissions will be rejected	Europe
18/06/2021		User pays *	Managing Agents	Complaints handling charges collection via ARCS	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
25/06/2021		Tax Members Agent and DCP Level	Members	BEAT Expense Declaration Forms (EDFs) to be completed by corporate members. Details of income and expenses in connection with the members underwriting paid outside of Lloyd's for the United States income tax return to be provided.	Robert O'Brien Tax +44 (0)1634 392376		UK & Channel Islands
25/06/2021		Tax - Syndicate Level	Syndicates	A PE certification form for Members' overseas tax filings should be completed by Managing Agents for each Syndicate (including each Special Purpose Arrangement) that they manage in respect of business planned to be written in the 2021 calendar year.	Asher Jones Tax +44 (0)2073 275576		UK & Channel Islands
25/06/2021		Tax Members Agent and DCP Level	Members	Regular Expense Declaration Forms (EDFs) to be completed by corporate members. Details of income and expenses in connection with the members underwriting paid outside of Lloyd's for the United States income tax return to be provided.	Robert O'Brien Tax +44 (0)1634 392376		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
30/06/2021		Declaration of compliance	Members	Deadline Declaration of Compliance (DOC) for DCPs	Julie Skinner Member Services +44 (0)1634 392737	To confirm compliance with the provision of Lloyd's acts and byelaws, and Lloyd's has been kept up to date with members' details. e.g. controllers and beneficial owners	Europe
30/06/2021		Coming into line	Members	Deadline for all assets to be registered/settled for Mid Year CIL, including cash receipts which must be received in the relevant Lloyd's Trust Account for value on the 30th June	Anne Cooper Member Services +44 (0)1634 392576	If assets have not settled by this date, alternate asset(s) will need to be provided.	Europe

July 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/07/2021		Part VII July Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII July Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 30/06/2021) via Market Data Collection (MDC) website against the July submission business events (and initial load catch-up file if required). Submission window opens on the 01/07/2021 and closes on the 14/07/2021.	Europe
01/07/2021		Tax - Syndicate Level	Syndicates	Last date for managing agents to submit syndicate UK tax computations to Tax Operations	Caterina De Mori Tax +44 (0)2073 272484		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
05/07/2021		Syndicate reporting	Managing Agents	Complaints Team will request confirmation from Managing Agents that all complaints relating to UK policyholders have been notified to Lloyd's under the Complaints Handling Code with a two week deadline for response.	Joanne Tourle Complaints Team +44 (0)1634 392496		Europe
07/07/2021		Syndicate reporting	Managing Agents	Complaints team will request a sample of Summary Resolution Communications issued in the previous quarter to be reviewed in accordance with the UK Complaints Handling Code with a two week deadline for response.	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
12/07/2021		Tax - Syndicate Level	Syndicates	Last date for relevant managing agents to submit Singapore Year of Assessment 2020 syndicate schedules A1, A2 & C to Tax Operations	Aaron Marston Tax +44 (0)2073 275064		UK & Channel Islands
14/07/2021		US reporting	Managing Agents		David Cokayne Market Finance +44 (0)20 7327 6238		North America
15/07/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for June 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/07/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for June 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/07/2021		Performance Management Data Return	Managing Agents	Managing agents to submit Performance Management Data Return for June 2021 before 14:00 via Market Data Collection (MDC) website.	Matthew Orriss Business Intelligence +44 (0)20 7327 6823		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
23/07/2021		FAL *	Managing Agents, Members		Martin Hassell Member Services +44 (0)1634 392548	30th June 2021 valuation of Funds at Lloyd's	Europe
30/07/2021		FAL*	Members	Member Modeller (CY) updated for 30th June 2021 exchange rates for use in September Release Test	Neil Wells Member Capital +44 (0)207 327 6034	Provisional*	Europe
30/07/2021		US Reporting	Managing Agents	Managing Agents to lock completed electronic reporting packs.	David Cokayne Market Finance +44 (0)20 7327 6238		North America
30/07/2021		Performance Management Data Return	Managing Agents	Managing agents to submit December (18) 2020 Performance Management Data Return for December 2019 before 14:00 via Market Data Collection (MDC) website.	Matthew Orriss Business Intelligence +44 (0)20 7327 6823		UK & Channel Islands

August 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
02/08/2021		Part VII August Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII August Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 31/07/2021) via Market Data Collection (MDC) website against the August submission business events (and initial load catch-up file if required). Submission window opens on the 02/08/2021 and closes on the 13/08/2021.	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
03/08/2021		US reporting	Managing Agents	Receive back notarised jurat pages	David Cokayne Market Finance +44 (0)20 7327 6238		North America
03/08/2021		Managing Agents to submit cash transfer forms	Managing Agents	Managing Agents to submit cash transfer forms.	David Cokayne Market Finance +44 (0)20 7327 6238		North America
05/08/2021		Syndicate reporting *	Managing Agents	Managing agents to submit quarter 2 2021 QMB, via Market Data Collection (MDC) website by 2PM	Tom Halfpenny Business Intelligence +44 (0)20 7327 5943		UK & Channel Islands
10/08/2021		US reporting	Brokers	US situs top up cash movement date	David Cokayne Market Finance David.Cokayne@lloyds.com +44 (0)20 7327 6238		North America
13/08/2021		Tax Members Agent and DCP Level	Members	New members to the Japanese Income Tax return are required to confirm if they are a Small/Medium Enterprise (SME) for the purpose of Japanese Tax	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
16/08/2021		French office *	Managing Agents	GAREAT Small and Medium-sized risk - risks strictly below EUR20m - completion of portfolio by address of the insured (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
16/08/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for July 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
16/08/2021		French office *	Managing Agents	GAREAT Small and Medium-sized risk - risks strictly below EUR20m - completion of the 2022 estimated premium return French office (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
16/08/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for July 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
16/08/2021		French office *	Managing Agents	GAREAT Small and Medium-sized risk annual return - risks strictly below EUR20m - Adjustment and closing of the 2020 underwriting year split by French department (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
26/08/2021		Distribution *	Managing Agents	Deadline for Managing Agents to notify Member Services of any interim cash calls due for payment on 30th September 2021	Theresa Thorp Member Services +44 (0)1634 392059		Europe
26/08/2021		Coming into line*	Members	Provisional Member Modelling Software release, which includes indicative rollover information only.	Neil Wells Member Capital +44 (0)207 327 6034		Europe
31/08/2021		US reporting	Managing Agents	Post timetable and instructions on Secure Store.	David Cokayne Market Finance David.Cokayne@lloyds.com +44 (0)20 7327 6238		North America
31/08/2021		FAL *	Members	Deadline for Individual and Corporate Members to submit requests for the End of Interavailability applications* for Funds at Lloyd's	Anne Cooper Member Services +44 (0)1634 392576	End of Interavailability applications received after this date will not be accepted until 2021. Incomplete file submissions will be rejected	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
31/08/2021		FAL *	Members	Deadline for Individual and Corporate Members to submit requests for the Total Release applications* for Funds at Lloyd's	Anne Cooper Member Services +44 (0)1634 392576	Total Release applications will not be permitted after this date until the Distribution DAM has re-opened in 2022 Incomplete file submissions will be rejected	Europe

September 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/09/2021		Part VII September Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII September Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 31/08/2021) via Market Data Collection (MDC) website against the September submission business events (and initial load catch-up file if required). Submission window opens on the 01/09/2021 and closes on the 14/09/2021.	Europe
14/09/2021		French office *	Managing Agents	Q3 2021: GAREAT Large risk return to French Office for period 1 January 2021 to 10 September 2021 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
15/09/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for August 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/09/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for August 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
16/09/2021		Coming into line*	Syndicates	Member Modeller Software Updated for Phase 1 syndicates' SBF and LCR submissions.	Neil Wells Member Capital +44 (0)207 327 603		Europe
17/09/2021		Direct Corporate Members (DCP)	Members	Capital test – Release Test / Coming into Line (CIL) calculations (as at 30th June 2021) issued to DCP Members	Anne Cooper Member Services +44 (0)1634 392576		Europe
17/09/2021		Change of Control	Members	Deadline for Member Services to receive Change of Control applications and Information Forms for purchasing corporate vehicles seeking approval prior to year end, where that Corporate Vehicle wishes to participate in the auctions.	Mita Johnson Member Services +44 (0)1634 392960		Europe
17/09/2021		Coming into line	Managing Agents, Members	Capital test – Release Test / Coming into Line (CIL) calculations (as at 30th June 2021) issued to Members' Agents	Louise Holliday Member Services +44 (0)1634 392174	Any files that do not have full and correct documentation within 3 days of submission will be rejected	Europe
23/09/2021		Coming into line*	Syndicates	Member Modeller Software Updated for Phase 2 syndicates' SBF and LCR submissions	Neil Wells Member Capital +44 (0)207 327 6034		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
24/09/2021		Client Onboarding	Managing Agents, Members	Deadline for all prospective Members from DCP and Members' Agents to submit their Stage 1 application to Member Services (where intending to participate in Auctions)	Mita Johnson Member Services +44 (0)1634 392960	Additional requirement is the Declaration of Source Of Wealth with supporting evidence. All Application Forms and declarations to be submitted with supporting documentation (including Tax Forms to Tax Operations) and evidence as per Lloyd's Anti Money Laundering and Financial Crime requirements. Please note files will be rejected where forms and supporting documentation are incomplete.	Europe
24/09/2021		Coming into line*	Members	Deadline for Members wishing to participate in the auctions to provide Application Forms, Information Forms and completed signed and witnessed Declaration and Undertaking document in respect of new third party funding arrangements to Member Services for CIL End-Year. This includes new third party arrangements for any prospective New Members.	Mita Johnson Member Services +44 (0)1634 392960		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
24/09/2021		Client Onboarding	Members	Deadline for appointment of new Member to LLP following Resignations or Death within the LLP (where intending to participate in Auctions)	Mita Johnson Member Services +44 (0)1634 392960	Where an LLP member dies in the period after 30th September and before the coming into line date, if they intend to continue underwriting, every effort will be made to appoint a new member and enable the LLP to come into line as long as clear instructions are provided. If the death occurs after the CIL date, then the LLP member will be permitted to underwrite for the following year, pending appointment of a new member at the earliest opportunity	Europe
24/09/2021		Client Onboarding	Members	Deadline for members that have stopped underwriting and wish to recommence underwriting from 1 Jan, to provide notification of their intention and stating their rationale to do so.	Julie Skinner Member Services +44 (0)1634 392737	Member must not have previously entered into an interavailable arrangement	Europe
30/09/2021		Coming into line*	Syndicates, Members	Member Modeller Software Updated for Phase 3 syndicates' SBF and LCR submissions	Neil Wells Member Capital +44 (0)207 327 6034	*Provisional date.	Europe
30/09/2021		Direct Corporate Members (DCP) *	Members	Deadline for DCP Members to submit requests for the Total Release applications* for Funds at Lloyd's	Anne Cooper Member Services +44 (0)1634 392576	Total Release applications will not be permitted after this date until the Distribution DAM has re-opened in 2022 Incomplete file submissions will be rejected	Europe
30/09/2021		STAMP	Syndicates, Managing Agents	Deadline for Agents to submit Register of Agents. Charges entry for aligned and unaligned syndicates.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
30/09/2021		Tax Members Agent and DCP Level	Members	Deadline for return of new W8-Ben forms to replace existing forms that expire at 31st December 2021	Gemma Tutt Tax +44 (0)1634 392833		UK & Channel Islands
30/09/2021		Distribution	Syndicates	Interim cash calls to be funded and paid to syndicates on this date.	Theresa Thorp Member Services +44 (0)1634 392059		Europe

October 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/10/2021		Coming into line	Members	Deadline for Members not wishing to participate in the auctions to provide Application Forms, Information Forms and completed signed and witnessed Declaration and Undertaking document in respect of new third party funding arrangements to Member Services for CIL End-Year. This includes new third party arrangements for any prospective New Members.	Mita Johnson Member Services +44 (0)1634 392960		Europe
01/10/2021		Client Onboarding	Members	Applications for Members seeking dispensation under paragraph 24 of the Byelaw from the requirement to appointing and retaining a Members' Agent.	Julie Skinner Member Services +44 (0)1634 392737	For applications for DCP status please submit your request to ClientOnBoarding@lloyds.com. Lloyd's will then request additional information from you before permission to change status.	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/10/2021		Client Onboarding	Members	Deadline for appointment of new Member to LLP following resignations or death within the LLP (where NOT intending to participate in Auctions)	Mita Johnson Member Services +44 (0)1634 392960	Where an LLP Member dies in the period after 30th September and before the coming into line date, if they intend to continue underwriting, every effort will be made to appoint a new Member and enable the LLP to come into line as long as clear instructions are provided. If the death occurs after the CIL date, then the LLP member will be permitted to underwrite for the following year pending appointment of a new Member at the earliest possible opportunity.	Europe
01/10/2021		Client Onboarding	Managing Agents, Members	Deadline for all prospective Members from DCP and Members' Agents to submit their Stage 1 application to Member Services (where NOT intending to participate in Auctions)	Mita Johnson Member Services +44 (0)1634 392960	Additional requirement is the Declaration of Source Of Wealth with supporting evidence. All Application Forms and declarations to be submitted with supporting documentation (including Tax Forms to Tax Operations) and evidence as per Lloyd's Anti Money Laundering and Financial Crime requirements. Please note files will be rejected where forms and supporting documentation are incomplete	Europe
01/10/2021		Tax Members Agent and DCP Level	Members	Deadline for submission of UK Tax Residency Declaration forms to Tax Operations - Non DCP Members only	Rosie Waud Tax +44 (0)2073 272136		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/10/2021		Tax Members Agent and DCP Level	Members	Lloyd's Japan members identified as a Consolidated Multinational Enterprise (MNE) must provide Tax department with 'Transfer Pricing Master File' & 'Country by Country report' within 10 months of the Ultimate Parent Entity's financial year-end. Only required where this hasn't been provided by Ultimate Parent Entity or another group member	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
01/10/2021		Corporate Consolidations	Members	Deadline for Member Services to receive notification of Corporate Consolidations to be effective from 1 January	Julie Skinner Member Services +44 (0)1634 392737	Any changes to the corporate Members' structures must be completed prior to 1 October 2021 i.e. before the notification of the Corporate Consolidation	Europe
01/10/2021		Change of control	Members	Deadline for Member Services to receive Change of Control applications and Information Forms for purchasing corporate vehicles seeking approval prior to year end, where that Corporate Vehicle wishes not to participate in the auctions.	Mita Johnson Member Services +44 (0)1634 392960		Europe
01/10/2021		Part VII October Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII October Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@loyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 30/09/2021) via Market Data Collection (MDC) website against the October submission business events (and initial load catch-up file if required). Submission window opens on the 01/10/2021 and closes on the 14/10/2021.	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
04/10/2021		Syndicate reporting	Managing Agents	Complaints Team will request confirmation from Managing Agents that all complaints relating to UK policyholders have been notified to Lloyd's under the Complaints Handling Code with a two week deadline for response.	Joanne Tourle Complaints Team +44 (0)1634 392496		Europe
07/10/2021		Coming into line*	Syndicates	Member Modeller Software Updated for Phase 4 syndicates' SBF and LCR submissions	Neil Wells Member Capital +44 (0)207 327 6034	Provisional date*	Europe
15/10/2021		Coming into line	Syndicates	Member Modeller Pre-Auction release updated for all Phase 1 syndicates' approved ECA	Neil Wells Member Capital +44 (0)207 327 6034	Provisional date*	Europe
15/10/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for September 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/10/2021		Performance Management Data Return	Managing Agents	Managing agents to submit Performance Management Data Return for September 2021 before 14:00 via Market Data Collection (MDC) website.	Matthew Orriss Business Intelligence +44 (0)20 7327 6823		UK & Channel Islands
15/10/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for September 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
26/10/2021		Distribution	Managing Agents	Deadline for Managing Agents to notify Member Services of any interim cash calls due for payment on 30th November 2020.	Theresa Thorp Member Services +44 (0)1634 392059		Europe
27/10/2021		Distribution	Members	Deadline for Member Services to receive instructions to transfer 2018 profits into special reserve fund (SRF).	Theresa Thorp Member Services +44 (0)1634 392059		Europe
29/10/2021		FAL	Members	Deadline for all non-active Members (DCP, Corporate and Individual) to submit requests for the release of surplus Funds at Lloyd's	Anne Cooper Member Services +44 (0)1634 392576	Incomplete file submissions will be rejected	Europe
29/10/2021		FAL*	Syndicates, Members	Member Modeller: Final date for updating Phase 2 and 3 syndicates' (incl all capitalised by LOC) approved ECA	Neil Wells Member Capital +44 (0)207 327 6034	Provisional date.	Europe
29/10/2021		STAMP	Members	Deadline for resignations, if member wishes to be excluded from provisional stamp.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

November 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/11/2021		Syndicate reporting	Managing Agents	Managing Agents to submit Actuarial Function Reports (covering underwriting and reinsurance opinions) for each syndicate under management to AFReports@lloyds.com.	Ajay Shah Market Reserving & Capital +44 (0)20 7327 5682		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/11/2021		Part VII November Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII November Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 31/10/2021) via Market Data Collection (MDC) website against the November submission business events (and initial load catch-up file if required). Submission window opens on the 01/11/2021 and closes on the 12/11/2021.	Europe
01/11/2021		STAMP	Members	Deadline for provisional stamp to be in place.	Claire Sheahan Member Services +44 (0)1634 392904		Europe
04/11/2021		Syndicate reporting *	Managing Agents	Managing agents to submit quarter 3 2021 QMB, via Market Data Collection (MDC) website by 2PM	Tom Halfpenny Business Intelligence +44 (0)20 7327 5943		UK & Channel Islands
05/11/2021		Coming into line	Members	Deadline for all Members to submit any applications* for Tier 2 assets/instructions for CIL, partial releases and substitutions. All documentation must be received by this date, no extensions to this deadline will be granted. Members' Tier 2 capital should not exceed 50% of their Economic Capital Assessment (ECA) where they have an ECA of over £5million. Please refer to Market Bulletin Y5177 for full information of the limits of Tier 2 assets and pre-approval of these assets.	Anne Cooper Member Services +44 (0)1634 392576	**Lloyd's are required to seek approval from the PRA for all Funds at Lloyd's transactions which include Tier 2 assets. Incomplete file submissions will be rejected	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
08/11/2021		Tax Members Agent and DCP Level	Members	Treaty Waiver Declaration - Including Member-Level Reinsurance request, Trancer Pricing sign-off & Reporting Uncertain Tax Positions	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
11/11/2021		Coming into line	Syndicates, Members	Member Modeller: Final date for updating Phase 4 syndicates' approved ECA	Neil Wells Member Capital +44 (0)207 327 603		Europe
12/11/2021		FAL*	Members	Deadline for all Members to submit their application* to substitute assets on a £ for £ basis within their Funds at Lloyd's - excluding Tier 2 assets	Anne Cooper Member Services +44 (0)1634 392576	Please refer to the section Tier 2 Assets**, if the application includes a letter of credit/bank guarantee or Life Policy. Incomplete file submissions will be rejected	Europe
12/11/2021		User pays	Managing Agents	Complaints handling charges due to be levied provided to managing agents to allow them to be verified prior to collection via ARCS	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
12/11/2021		Tax Members Agent and DCP Level	Members	Singapore Tax - Change of ownership for Singapore members (members will be contacted directly if return required)	Aaron Marston Tax +44 (0)2073 275064		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
12/11/2021		Client onboarding	Members	Deadline for prospective Corporate Members to submit their Stage 2 FAL applications including the assets for FAL to Member Services	Mita Johnson Member Services +44 (0)1634 39296	All forms, Deeds and declarations to be submitted with supporting documentation and evidence as per Lloyd's Anti Money Laundering and Financial Crime requirements. Please note files will be rejected where forms and supporting documentation are incomplete	Europe
12/11/2021		Corporate Consolidations	Members	Deadline for submission of Deeds and documentation for Corporate Consolidations to be effective from 1 January	Julie Skinner Member Services +44 (0)1634 392737		Europe
15/11/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for October 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/11/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for October 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
17/11/2021		Tax Members Agent and DCP Level	Members	US Tax - BEAT 8991 Questionnaire and 36 month expense worksheet to be completed by corporate members and returned to tax operations to enable the filing of Lloyd's aggregate form 8991.	Robert O'Brien Tax +44 (0)1634 392376		UK & Channel Islands
26/11/2021		Coming into line *	Members	Final Member Modeller Release prior to CIL (30 November)	Neil Wells Member Capital +44 (0)207 327 6034	Provisional date*	Europe
30/11/2021		Coming into line	Members	CIL Year-End Deadline Deadline for all Members to submit their application* to introduce new or additional assets (with the exception of Tier 2 assets) for Coming into Line (CIL). All supporting documentation must also be received by this date. NO FURTHER FAL FILES TO BE SUBMITTED UNTIL 2022	Anne Cooper Member Services +44 (0)1634 392576	All stock transactions must be settled and registered in Lloyd's name, as Legal Owner of the asset by 14th December 2021. If a stock has not settled by this date, an alternative asset will be requested via the Member's Agent/DCP Member. Please refer to the section Tier 2 Assets**, if the application includes a new/increased letter of credit or bank guarantee. Incomplete file submissions will be rejected	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
30/11/2021		FAL	Members	Deadline for all active Members to submit their application* for the release of surplus Funds at Lloyd's - excluding Tier 2 assets. NO FURTHER FAL FILES TO BE SUBMITTED UNTIL 2022	Anne Cooper Member Services +44 (0)1634 392576	Please refer to the section Tier 2 Assets**, if the application includes a letter of credit/bank guarantee or Life Policy. Incomplete file submissions will be rejected	Europe
30/11/2021		Distribution	Syndicates	Interim cash calls to be funded and paid to syndicates.	Claire Sheahan Member Services +44 (0)1634 392904		Europe
30/11/2021		STAMP	Members	Deadline date for the receipt of signed 2022 syndicate lists from DCP Members.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

December 2021

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/12/2021		Coming into line	Managing Agents, Members	Members Agents to confirm all their Members were in line by the Year End CIL deadline date, with 2022 underwriting requirements by completion of Members Agent confirmation (M&UR's appendix 4).	Anne Cooper Member Services +44 (0)1634 392576		Europe
01/12/2021		Tax Members Agent and DCP Level	Members	Deadline for submission of UK Tax Residency Declaration forms to DCP Team - DCP Members only	Rosie Waud Tax +44 (0)2073 272136		UK & Channel Islands

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
01/12/2021		Coming into line	Members	DCP Members to confirm that they were in line by the Year End CIL deadline date with 2022 underwriting requirements by completing a Corporate Members' confirmation form (M&UR's appendix 5)	Anne Cooper Member Services +44 (0)1634 392576		Europe
01/12/2021		Part VII December Submission (Monthly & Initial Load catch-up)	Syndicates	Part VII December Submission (Monthly & Initial Load catch-up)	MDC support mailbox mdcsupport@lloyds.com Lloyd's Insurance Company	In-scope Part VII Syndicates to submit Part VII Monthly data (as at 30/11/2021) via Market Data Collection (MDC) website against the December submission business events (and initial load catch-up file if required). Submission window opens on the 01/12/2021 and closes on the 14/12/2021.	Europe
08/12/2021		Coming into line	Managing Agents, Members	Deadline date for the confirmation from Members' Agents that all 2022 syndicate lists have been received and signed by Members.	Angela Beckingham Member Services +44 (0)1634 392083		Europe
10/12/2021		User pays	Managing Agents	Complaints handling charges collection via ARCS	Donna Nesbitt Complaints Team +44 (0)1634 392497		Europe
13/12/2021		French office *	Managing Agents	Q4 2021: GAREAT Large risk return to French Office for period 1 January 2021 to 10 December 2021 (one submission for Lloyd's Europe)	Stephanie Le Ninivin Lloyd's French Office +33 (0)1 42 60 85 92		Europe
14/12/2021		Coming into line	Members	Deadline for all stocks, shares and unit trusts to be registered/settled for Year End CIL.	Anne Cooper +44 (0)1634 392576 Member Services	If stock has not settled by this date, alternate asset(s) will need to be provided.	Europe

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
15/12/2021		Hong Kong Risk Return (HKR)	Coverholders	Submission of Hong Kong Risk Return for November 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/12/2021		Hong Kong Claim Return (HKC)	Coverholders	Submission of Hong Kong Claim Return for November 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
15/12/2021		Syndicate reporting	Managing Agents	Request sent to managing agents to complete questionnaire relating to policyholders who meet the definition of an 'eligible complainant' to meet Lloyd's FCA reporting requirements.	Jenny Laverty Complaints Department +44 (0)1634 392320		Europe
24/12/2021		Tax - Syndicate Level	Syndicates	Submit details of Investment income and Capital Gains and Losses on all NON-US DOLLAR syndicate funds so that Tax operations can prepare US Tax Reports for US members to enable them to file their personal Tax Returns.	Simon Tyler Tax +44 (0)1634 392512		UK & Channel Islands
31/12/2021		FAL	Members	Annual valuation of Funds at Lloyd's.	Martin Hassell Member Services +44 (0)1634 392548		Europe
31/12/2021		STAMP	Members	Deadline for submission of capacity movements for deceased names post final auction.	Claire Sheahan Member Services +44 (0)1634 392904		Europe

January 2022

Current deadline date	Original deadline date	Event title	Market Participant	Submission Instructions	Contact	Additional Information	Region
14/01/2022		Hong Kong Risk Return (HKR) *	Coverholders	Submission of Hong Kong Risk Return for December 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific
14/01/2022		Hong Kong Claim Return (HKC) *	Coverholders	Submission of Hong Kong Claim Return for December 2021 before 12:00 (UK Time) using core market returns software NOTE: This Return is only required to be submitted in relation to business written by a Hong Kong based coverholder and responsibility for such rests with the lead syndicate	Amrit Chaggar International Regulatory Affairs HKCoverholderReporting@lloyds.com +44 (0)207 327 5277 Lloyd's General Representative for Hong Kong HKCoverholderReporting@lloyds.com +852 2918 9911		Asia & Pacific