

Sanctions legislation

Law	Applicability	Offence
<i>Applicable (i) generally and (ii) to all authorised firms</i>		
The Al-Qa'ida and Taliban (United Nations Measures) Order 2002	Any person	(i) making funds available to listed person
The Sudan (United Nations Measures) Order 2005		(ii) failing to freeze funds
The Ivory Coast (United Nations Sanctions) Order 2005	All with Part IV permission	(iii) facilitating (i) or (ii)
The Democratic Republic of Congo (United Nations Measures) Order 2005		(iv) failing to disclose required information
<i>Applicable (i) generally and (ii) to all with part IV permission to accept deposits (other than merely in context of insurance business)</i>		
The Terrorism (United Nations Measures) 2001	Any person	Failing to report knowledge or suspicion that anyone who is a customer, or has been at any time since Order in force:
	Persons with Part IV permission to accept deposits (but does not include those with permission to accept deposits for purpose of effecting and carrying out contracts of insurance)	(i) is listed person (ii) acts on behalf of listed person (iii) has committed offence under Order
The Terrorism (United Nations Measures) 2001	Any person	(i) making funds available to person who commits etc terrorism, is controlled by or acts on behalf of such a person
	Persons with Part IV permission to accept deposits (but does not include those with permission to accept deposits for purpose of effecting and carrying out contracts of insurance)	(ii) failing to freeze funds (iii) facilitating (i) or (ii) (iv) failing to disclose required information
		(i) commits etc terrorism, is controlled by or acts on behalf of such a person

		(ii) has committed offence under Order
The Iraq (United Nations Sanctions) Order 2000	Any person	(i) making funds available to listed person (ii) failing to freeze funds etc (iii) facilitating (i) or (ii) (iv) failing to disclose required information
	Persons with Part IV permission to accept deposits (but does not include those with permission to accept deposits for purpose of effecting and carrying out contracts of insurance)	Failing to report knowledge or suspicion that anyone who is a customer, or has been at any time since Order in force: (i) is listed or designated person (ii) has committed offence under Order
<i>Applicable generally</i>		
The Burma (Financial Sanctions) Regulations 2005 The Liberia (Freezing of Funds and Economic Resources) Regulations 2004 The Zimbabwe (Freezing of Funds and Economic Resources) Regulations 2004	Any person	In respect of listed persons (i) failing to freeze funds (ii) making available funds (iii) assisting or facilitating (i) or (ii) (iv) granting loans, credit etc to listed entities (Burma only) (v) assisting or facilitating (v) (vi) failing to report any relevant information or provide required information
The Export Control (Democratic Republic of Congo) Order 2005	Any person	Providing financial or financing assistance including export credit insurance
The Federal Republic of Yugoslavia (Freezing of Funds) Regulations 2001 The International Criminal Tribunal for the Former	Any person	(i) failure to freeze funds belonging to specified persons/organisations (ii) making funds available to these

Yugoslavia (Financial Sanctions Against Indictes) Regulations 2005		<ul style="list-style-type: none"> (iii) persons failing to report anything that would help compliance with EC Regulation (iv) failing to provide required information
The Export Control (Iraq and Ivory Coast) Order 2005	Any person	<ul style="list-style-type: none"> (i) providing financial (or other) assistance to any person in Ivory Coast (ii) facilitating (i)